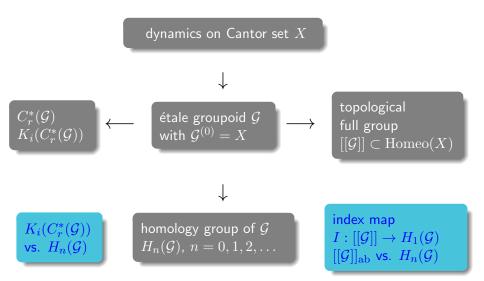
#### Various examples of topological full groups

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## Overview



## $\mathsf{Minimal}\ \mathbb{Z}\ \mathsf{action}$

A Cantor set is a compact, metrizable, totally disconnected space with no isolated points.

Any two such spaces are homeomorphic to each other.

The infinite product space  $\{0,1\}^{\mathbb{N}}$  is a Cantor set.

Let  $\varphi : \mathbb{Z} \curvearrowright X$  be an action on a Cantor set X by homeo. Assume that  $\varphi$  is minimal, i.e.  $\{\varphi^n(x) \mid n \in \mathbb{Z}\}$  is dense in X for all  $x \in X$ .

 $[[\mathcal{G}_{\varphi}]] := \{ \gamma \in \operatorname{Homeo}(X) \mid \exists \mathsf{conti.} \ c : X \to \mathbb{Z}, \ \gamma(x) = \varphi^{c(x)}(x) \}$ 

is called the topological full group of  $\varphi$ .

# TFG of minimal $\ensuremath{\mathbb{Z}}$ action

Let  $\varphi, \psi : \mathbb{Z} \frown X$  be minimal  $\mathbb{Z}$ -actions.

Theorem (Giordano-Putnam-Skau 1999)

- $[[\mathcal{G}_{\varphi}]] \cong [[\mathcal{G}_{\psi}]]$  iff  $\varphi$  is conjugate to  $\psi$  or  $\psi^{-1}$ .
- There exists a surjective homo.  $I : [[\mathcal{G}_{\varphi}]] \to \mathbb{Z}$  (index map).

#### Theorem (M 2006)

- $D([[\mathcal{G}_{\varphi}]])$  is simple.
- $[[\mathcal{G}_{\varphi}]]_{\mathrm{ab}} \cong \mathbb{Z} \oplus H_0(\mathbb{Z}, C(X, \mathbb{Z}_2)).$
- $D([[\mathcal{G}_{\varphi}]])$  is finitely generated iff  $\varphi$  is expansive.

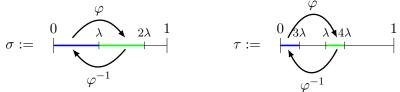
Theorem (Juschenko-Monod 2013)  $[[\mathcal{G}_{\varphi}]]$  is amenable.

## Example of minimal $\ensuremath{\mathbb{Z}}$ action

Let  $0 < \lambda < 1$  be an irrational number and let X be the Cantor set obtained by cutting  $\mathbb{T} = \mathbb{R}/\mathbb{Z}$  at the points  $n\lambda$ ,  $n \in \mathbb{Z}$ . Let  $\varphi : X \to X$  be the translation by  $\lambda$ .

 $D([[\mathcal{G}_{\varphi}]])$  is simple, and  $[[\mathcal{G}_{\varphi}]]_{ab} \cong \mathbb{Z} \oplus \mathbb{Z}_2 \oplus \mathbb{Z}_2$ .

For simplicity, we assume  $1/3 < \lambda < 1/2$ .



Then,  $[[\mathcal{G}_{\varphi}]]$  is generated by  $\varphi,\sigma,\tau.$ 

 $[[\mathcal{G}_{\varphi}]]$  is amenable.

# TFG of minimal $\mathbb{Z}^N$ action

Let  $\varphi : \mathbb{Z}^N \curvearrowright X$  be a free minimal action and consider  $\mathcal{G}_{\varphi}$ .

#### Theorem (M 2012, 2015)

- The index map  $I : [[\mathcal{G}_{\varphi}]] \to H_1(\mathcal{G}_{\varphi})$  is surjective.
- There exists an exact sequence:

$$H_0(\mathcal{G}_{\varphi}) \otimes \mathbb{Z}_2 \longrightarrow [[\mathcal{G}_{\varphi}]]_{\mathrm{ab}} \xrightarrow{I} H_1(\mathcal{G}_{\varphi}) \longrightarrow 0$$

•  $D([[\mathcal{G}_{\varphi}]])$  is simple.

#### Theorem (Elek-Monod 2013)

 $[[\mathcal{G}_{\varphi}]]$  is sometimes amenable and sometimes NOT.

#### Theorem (Nekrashevych 2019)

 $D([[\mathcal{G}_{\varphi}]])$  is finitely generated iff  $\varphi$  is expansive.

## Orbit equivalence

 $\varphi:\Gamma \curvearrowright X \text{ and } \psi:\Lambda \curvearrowright Y \text{ are said to be orbit equivalent,}$  if  $\exists$  homeo.  $h:X \to Y$  such that

$$h(arphi ext{-orbit of }x)=\psi ext{-orbit of }h(x)$$

holds for all  $x \in X$ .

Theorem (Giordano-M-Putnam-Skau 2010)
Let φ : Z<sup>M</sup> ∩ X and ψ : Z<sup>N</sup> ∩ Y be minimal actions on Cantor sets. T.F.A.E. **1** φ and ψ are orbit equivalent. **2** ∃ homeo. h : X → Y such that h<sub>\*</sub>({φ-inv. prob. measure}) = {ψ-inv. prob. measure}.

#### Remark

 $\mathcal{G}_{\varphi}\cong \mathcal{G}_{\psi}$  iff  $\varphi$  and  $\psi$  are orbit equivalent with continuous cocycles.

A groupoid G is a 'group-like' algebraic object, in which the product may not be defined for all pairs in G.

- $g \in \mathcal{G}$  is thought of as an arrow  $\xleftarrow{g}$  .
- $r: g \mapsto gg^{-1}$  is called the range map.
- $s: g \mapsto g^{-1}g$  is called the source map.
- $\mathcal{G}^{(0)} = r(\mathcal{G}) = s(\mathcal{G}) \subset \mathcal{G}$  is called the unit space.

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 $\mathcal{G}$  is an étale groupoid if  $\mathcal{G}$  is equipped with a locally compact Hausdorff topology compatible with the groupoid structure and the range (or source) map is a local homeomorphism.

An arrow  $\bullet \xleftarrow{g} \bullet$  is thought of as a germ at  $s(g) = g^{-1}g$ .

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In what follows, we assume that  $\mathcal{G}^{(0)}$  is a Cantor set.

### Example of étale groupoid

Let  $\varphi: \Gamma \curvearrowright X$  be an action of a discrete group  $\Gamma$  on a Cantor set.  $\mathcal{G}_{\varphi} = \Gamma \times X$  with the product topology is an étale groupoid with

$$(\gamma', \varphi_{\gamma}(x)) \cdot (\gamma, x) = (\gamma'\gamma, x), \quad (\gamma, x)^{-1} = (\gamma^{-1}, \varphi_{\gamma}(x)).$$

 $\mathcal{G}_{\varphi}$  is called the transformation groupoid. Thus,  $(\gamma, x)$  is  $\varphi_{\gamma}(x) \bullet \longleftarrow \bullet x$ The unit space  $\mathcal{G}_{\varphi}^{(0)} = \{1\} \times X$  is identified with X.

# Topological full group

A compact open set  $U \subset \mathcal{G}$  is called a bisection if both r|U and s|U are injective. The topological full group  $[[\mathcal{G}]]$  is defined by

 $[[\mathcal{G}]] = \left\{ \gamma \in \operatorname{Homeo}(\mathcal{G}^{(0)}) \mid \exists \mathsf{bisection} \ U, \ \gamma = (r|U) \circ (s|U)^{-1} \right\}.$ 

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Equivalently,  $\gamma \in [[\mathcal{G}]]$  if and only if

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When  $\varphi: \Gamma \curvearrowright X$  is a group action on a Cantor set X,  $\mathcal{G}_{\varphi} = \Gamma \times X$  becomes an étale groupoid in a natural way. In this situation,

$$\gamma \in [[\mathcal{G}_{\varphi}]] \iff \exists \mathsf{conti.} \ \mathsf{map} \ c: X \to \Gamma, \ \gamma(x) = \varphi_{c(x)}(x).$$

## Groupoid $C^*$ -algebra

For an étale groupoid  $\mathcal{G}$ , the space  $C_c(\mathcal{G}, \mathbb{C})$  of compactly supported continuous functions becomes a \*-algebra by

$$(f_1 \cdot f_2)(g) = \sum_{h \in \mathcal{G}} f_1(gh) f_2(h^{-1}),$$

$$f^*(g) = \overline{f(g^{-1})}.$$

As a completion by a suitable norm, we get a (reduced) groupoid  $C^*$ -algebra  $C^*_r(\mathcal{G})$ .

 $C_r^*(\mathcal{G})$  contains the abelian subalgebra  $C(\mathcal{G}^{(0)})$ . It is maximal, and its unitary normalizers generate  $C_r^*(\mathcal{G})$ . Such a subalgebra  $C(\mathcal{G}^{(0)})$  is called a Cartan subalgebra.

#### Isomorphism theorem

#### Theorem

For minimal groupoids  $G_1$  and  $G_2$ , the following are equivalent.

- **1**  $\mathcal{G}_1$  is isomorphic to  $\mathcal{G}_2$  as an étale groupoid.
- **2**  $[[\mathcal{G}_1]]$  is isomorphic to  $[[\mathcal{G}_2]]$  as a group.
- **3**  $D([[\mathcal{G}_1]])$  is isomorphic to  $D([[\mathcal{G}_2]])$  as a group.
- **4** There exists an isomorphism  $\pi : C_r^*(\mathcal{G}_1) \to C_r^*(\mathcal{G}_2)$  such that  $\pi(C(\mathcal{G}_1^{(0)})) = C(\mathcal{G}_2^{(0)}).$

Thus,  $[[\mathcal{G}]]$  (or  $D([[\mathcal{G}]])$ ) 'remembers'  $\mathcal{G}$ .

#### Homology group

 $H_n(\mathcal{G})$  are the homology groups of the chain complex

$$0 \longleftarrow C(\mathcal{G}^{(0)}, \mathbb{Z}) \stackrel{\delta_1}{\longleftarrow} C_c(\mathcal{G}^{(1)}, \mathbb{Z}) \stackrel{\delta_2}{\longleftarrow} C_c(\mathcal{G}^{(2)}, \mathbb{Z}) \stackrel{\delta_3}{\longleftarrow} \dots,$$

where  $\mathcal{G}^{(n)}$  is the space of composable strings of n elements.

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where  $\mathcal{G}^{(n)}$  is the space of composable strings of n elements.

$$\delta_1(f)(x) = \sum_{s(g)=x} f(g) - \sum_{r(g)=x} f(g)$$

So,

$$H_0(\mathcal{G}) = C(\mathcal{G}^{(0)}, \mathbb{Z}) / \langle 1_{s(U)} - 1_{r(U)} | U \text{ is a bisection} \rangle.$$

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If U is a bisection such that  $s(U) = r(U) = \mathcal{G}^{(0)}$ , then  $1_U \in \operatorname{Ker} \delta_1$ . Hence, one can define the index map  $I : [[\mathcal{G}]] \to H_1(\mathcal{G})$ .

When  $\mathcal{G} = \mathcal{G}_{\varphi}$ , the homology groups  $H_n(\mathcal{G}_{\varphi})$  are canonically isomorphic to the group homology  $H_n(\Gamma, C(X, \mathbb{Z}))$ .

## Simplicity of commutator subgroup

Theorem (M 2012, 2015)

Let  $\mathcal{G}$  be a minimal étale groupoid which is either almost finite or purely infinite.

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The transformation groupoid  $\mathcal{G}_{\varphi}$  of  $\varphi : \Gamma \curvearrowright X$  is almost finite when  $\Gamma$  is finitely generated and has polynomial growth. If  $\mathcal{G}$  is almost finite, there exists an invariant prob. measure.

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 $\mathcal{G}$  is said to be purely infinite, if every clopen subset  $A \subset \mathcal{G}^{(0)}$ admits a paradoxical decomposition:  $\exists$  bisections  $U, V \subset \mathcal{G}$ such that s(U) = s(V) = A and  $r(U) \sqcup r(V) \subset A$ .

# One-sided shifts of finite type (1/2)

Let  $(\mathcal{V},\mathcal{E})$  be an irreducible finite directed graph and let A be the adjacency matrix. Set

$$X = \{ (x_n)_n \in \mathcal{E}^{\mathbb{N}} \mid t(x_n) = i(x_{n+1}) \quad \forall n \in \mathbb{N} \},\$$

The one-sided shift  $\sigma$  on X is called a shift of finite type (SFT).

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The SFT groupoid  $\mathcal{G}_A$  of  $(X, \sigma)$  (or of A) is

$$\mathcal{G}_A = \left\{ (x, k-l, y) \in X \times \mathbb{Z} \times X \mid \exists k, l \in \mathbb{N}, \ \sigma^k(x) = \sigma^l(y) \right\}$$

with the product  $(x, m, y) \cdot (y, n, z) := (x, m+n, z)$ . It is known  $H_0(\mathcal{G}_A) \cong \operatorname{Coker}(\operatorname{id} - A^t)$ ,  $H_1(\mathcal{G}_A) \cong \operatorname{Ker}(\operatorname{id} - A^t)$  and  $H_n(\mathcal{G}_A) = 0$  for  $n \ge 2$ . (M 2012)

In 1965 R. Thompson gave the first example of a finitely presented infinite simple group. G. Higman and K. S. Brown later generalized it to infinite families  $F_n \subset T_n \subset V_n$  for  $n \in \mathbb{N} \setminus \{1\}$ .

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The group  $V_n$  consists of PL right continuous bijections  $f:[0,1) \rightarrow [0,1)$  with finitely many singularities, all in  $\mathbb{Z}[1/n]$ , slopes lying in powers of n, and mapping  $\mathbb{Z}[1/n] \cap [0,1)$  to itself.  $V_n$  is called the Higman-Thompson group.

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 $F_n$  is a subgroup of  $V_n$  consisting of continuous maps f.  $F_n$  is also finitely presented.

It is not yet known if  $F_n$  is amenable or not.

## Nekrashevych's observation

#### Theorem (Nekrashevych 2004)

When  $(X, \sigma)$  is the full shift over n symbols, the topological full group  $[[\mathcal{G}_n]]$  is isomorphic to  $V_n$ .

Let  $\mathcal{V} = \{*\}$  and  $\mathcal{E} = \{0, 1, \dots, n-1\}$ . The continuous map  $\rho : \mathcal{E}^{\mathbb{N}} \to [0, 1]$  defined by

$$\rho((x_k)_k) = \sum_{k=1}^{\infty} \frac{x_k}{n^k}$$

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 $[[\mathcal{G}_A]]$  for general SFT groupoids  $\mathcal{G}_A$  may be thought of as a generalization of the Higman-Thompson group  $V_n$ .

# One-sided shifts of finite type (2/2)

Let A be the adjacency matrix of an irreducible finite directed graph  $(\mathcal{V}, \mathcal{E})$ .

#### Theorem (Matsumoto-M 2014)

The triple  $(\text{Coker}(\text{id} - A^t), [u_A], \det(\text{id} - A))$  is a complete invariant for the isomorphism class of  $\mathcal{G}_A$  within SFT groupoids.

#### Theorem (M 2015)

- $D([[\mathcal{G}_A]])$  is simple.
- $[[\mathcal{G}_A]]_{\mathrm{ab}} \cong H_1(\mathcal{G}_A) \oplus (H_0(\mathcal{G}_A) \otimes \mathbb{Z}_2).$
- $[[\mathcal{G}_A]]$  is of type  $F_{\infty}$  (in particular, finitely presented).
- $[[\mathcal{G}_A]]$  has the Haagerup property.

#### Boundary action of the free group

Let  $F_2 := \langle a, b \rangle$  be the free group and let  $S := \{a, b, a^{-1}, b^{-1}\}$ . The hyperbolic boundary of  $F_2$  is

$$\partial F_2 := \left\{ (x_n)_n \in S^{\mathbb{N}} \mid \{x_n, x_{n+1}\} \neq \{a, a^{-1}\}, \ \{b, b^{-1}\} \quad \forall n \in \mathbb{N} \right\}.$$

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Let  $\varphi: F_2 \curvearrowright \partial F_2$  be the boundary action.

Then,  $\mathcal{G}_{\varphi}$  is canonically isomorphic to the SFT groupoid  $\mathcal{G}_A$  with

$$A := \begin{bmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 \end{bmatrix}$$

In particular,  $H_0(\mathcal{G}_{\varphi}) \cong H_1(\mathcal{G}_{\varphi}) \cong \mathbb{Z}^2$  and  $H_n(\mathcal{G}_{\varphi}) = 0$  for  $n \ge 2$ .

# Cleary's group (1/2)

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Consider the group  $V_{\lambda}$  consisting of right continuous bijections of [0,1) which are piecewise linear, with finitely many discontinuities and singularities, all in A, slopes in P, and mapping  $A \cap [0,1)$  to itself.

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Cleary (1995, 2000) showed that  $V_{\lambda}$  is of type  $F_{\infty}$ when  $\lambda > 0$  satisfies  $\lambda^2 + n\lambda - 1 = 0$ ,  $n \in \mathbb{N}$ .

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There exists an étale groupoid  $\mathcal{G}_{\lambda}$  such that  $[[\mathcal{G}_{\lambda}]] \cong V_{\lambda}$ .

Let  $\lambda > 0$  be an irrational number. Let  $P = \{\lambda^n \mid n \in \mathbb{Z}\}$  and  $A = \mathbb{Z}[\lambda, \lambda^{-1}]$ .

Consider the group  $V_{\lambda}$  consisting of right continuous bijections of [0,1) which are piecewise linear, with finitely many discontinuities and singularities, all in A, slopes in P, and mapping  $A \cap [0,1)$  to itself.

Cleary (1995, 2000) showed that  $V_{\lambda}$  is of type  $F_{\infty}$ when  $\lambda > 0$  satisfies  $\lambda^2 + n\lambda - 1 = 0$ ,  $n \in \mathbb{N}$ .

There exists an étale groupoid  $\mathcal{G}_{\lambda}$  such that  $[[\mathcal{G}_{\lambda}]] \cong V_{\lambda}$ .

*K*-groups of  $C_r^*(\mathcal{G}_{\lambda})$  were computed for many values of  $\lambda$  by Carey-Phillips-Putnam-Rennie (2011).

#### Theorem

1 When  $\lambda > 0$  satisfies  $\lambda^2 + n\lambda - 1 = 0$ ,  $n \in \mathbb{N}$ ,

$$H_0(\mathcal{G}_{\lambda}) = \mathbb{Z}_n, \quad H_1(\mathcal{G}_{\lambda}) = \mathbb{Z}_2, \quad H_k(\mathcal{G}_{\lambda}) = 0 \text{ for } k \ge 2.$$

2 When  $\lambda > 0$  satisfies  $\lambda^2 - n\lambda + 1 = 0$ ,  $n \in \mathbb{N} \setminus \{1, 2\}$ ,

$$egin{aligned} H_0(\mathcal{G}_\lambda) &= \mathbb{Z}_{n-2}, \quad H_1(\mathcal{G}_\lambda) = \mathbb{Z}, \quad H_2(\mathcal{G}_\lambda) = \mathbb{Z}, \ &H_k(\mathcal{G}_\lambda) = 0 \ \textit{for } k \geq 3. \end{aligned}$$

In both cases, we have

$$\bigoplus_{n} H_{2n+i}(\mathcal{G}_{\lambda}) \cong K_i(C_r^*(\mathcal{G}_{\lambda})) \quad i = 0, 1$$

and

$$[[\mathcal{G}_{\lambda}]]_{\mathrm{ab}} \cong (H_0(\mathcal{G}_{\lambda}) \otimes \mathbb{Z}_2) \oplus H_1(\mathcal{G}_{\lambda}).$$

## Simple periodic group (1/2)

Let  $\tau \in \operatorname{Homeo}(X)$  be an involution, i.e.  $\tau^2 = \operatorname{id}$ . A finite subgroup  $A \subset \operatorname{Homeo}(X)$  is called a fragmentation of  $\tau$  if the following hold:

- $\forall x \in X$ ,  $\forall h \in A$ , one has h(x) = x or  $h(x) = \tau(x)$ ,
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Let  $\lambda := (\sqrt{5} - 1)/2$  and consider the Cantor set Xobtained by cutting  $\mathbb{T} = \mathbb{R}/\mathbb{Z}$  at the points  $n\lambda$ ,  $n \in \mathbb{Z}$ . Define involutions  $\tau, \sigma \in \text{Homeo}(X)$  by

$$\tau(x) := \lambda - x, \quad \sigma(x) := 1 - x.$$

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Then,  $\tau \circ \sigma$  is the translation by  $\lambda$ , which induces a minimal  $\mathbb{Z}$ -action on X.

# Simple periodic group (2/2)

#### Theorem (Nekrashevych 2018)

There exist fragmentations A and B of  $\tau$  and  $\sigma$ , respectively, such that  $F := \langle A \cup B \rangle$  satisfies the following.

- There exists a non-Hausdorff étale groupoid  $\mathcal{G}$  on X such that  $F = [[\mathcal{G}]].$
- F is periodic and has subexponential growth.
- D(F) is simple and  $F_{ab} \cong (\mathbb{Z}_2)^9$ .

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The involution  $\sigma$  has one fixed point  $x_0$  corresponding to  $1/2 \in [0, 1]$ . The fragmentation B above has the following property: for every  $h \in B$ , the closure of the interior of Fix(h) contains  $x_0$ .

The fragmentation A of  $\tau$  also has the same property.

#### HK conjecture

In many cases, we have

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Theorem (Proietti-Yamashita, arXiv:2020) Let G be an étale groupoid with torsion-free stabilizers satisfying the strong Baum-Connes conjecture. Then there exists a convergent spectral sequence

$$E_{p,q}^2 = H_p(\mathcal{G}, K_q(\mathbb{C})) \implies K_{p+q}(C_r^*(\mathcal{G})).$$