

Studying Contact structures via Orderings of Mapping class groups

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①

Thurston-type ordering of MCG

algebra



Right-veering ness of MCG



openbook, Honda-Kazez-Matic's work

- Tightness criterion
- (Non)-vanishing of Ozsvath-Szabo invariant
- (• various examples)

(b)

Ordering : Local information of the
action of MCG



"local" to "global"

Contact structure :

Global information of the
topology and geometry of 3-manifold

§I. Thurston-type ordering

①

$$\Sigma = \Sigma_{g,d,p} = \left[\begin{array}{c} \{ \\ \{ \\ \vdots \\ \emptyset \end{array} \right. \left. \begin{array}{c} \overbrace{\cup \dots \cup}^g \quad \overbrace{x \dots x}^p \end{array} \right] \quad (d \geq 1, \chi(\Sigma) < 0)$$

Mapping class group

$$MCG(\Sigma, \partial\Sigma) = \left\{ f: \Sigma \xrightarrow{\cong} \Sigma \mid f|_{\partial\Sigma} = id \right\} / \sim$$

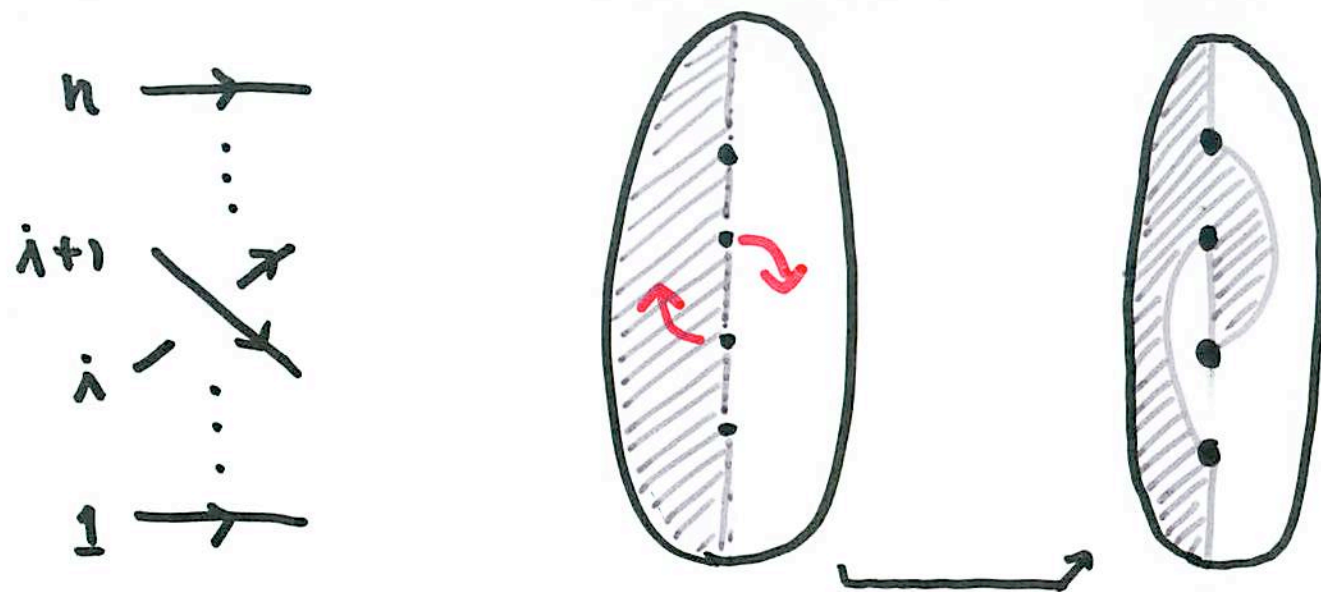
isotopy

ex.) Braid group

②

$$B_n = \left\langle \sigma_1, \dots, \sigma_{n-1} \mid \begin{array}{ll} \sigma_i \sigma_j \sigma_i = \sigma_j \sigma_i \sigma_j & (|j-i|=1) \\ \sigma_i \sigma_j = \sigma_j \sigma_i & (|j-i|>1) \end{array} \right\rangle$$

$$\cong \text{MCG}(\Sigma_{0,1,n}; \partial\Sigma)$$



$\sigma_i \longleftrightarrow \text{Half-twist}$

(left-twist)

Thurston-type ordering

③

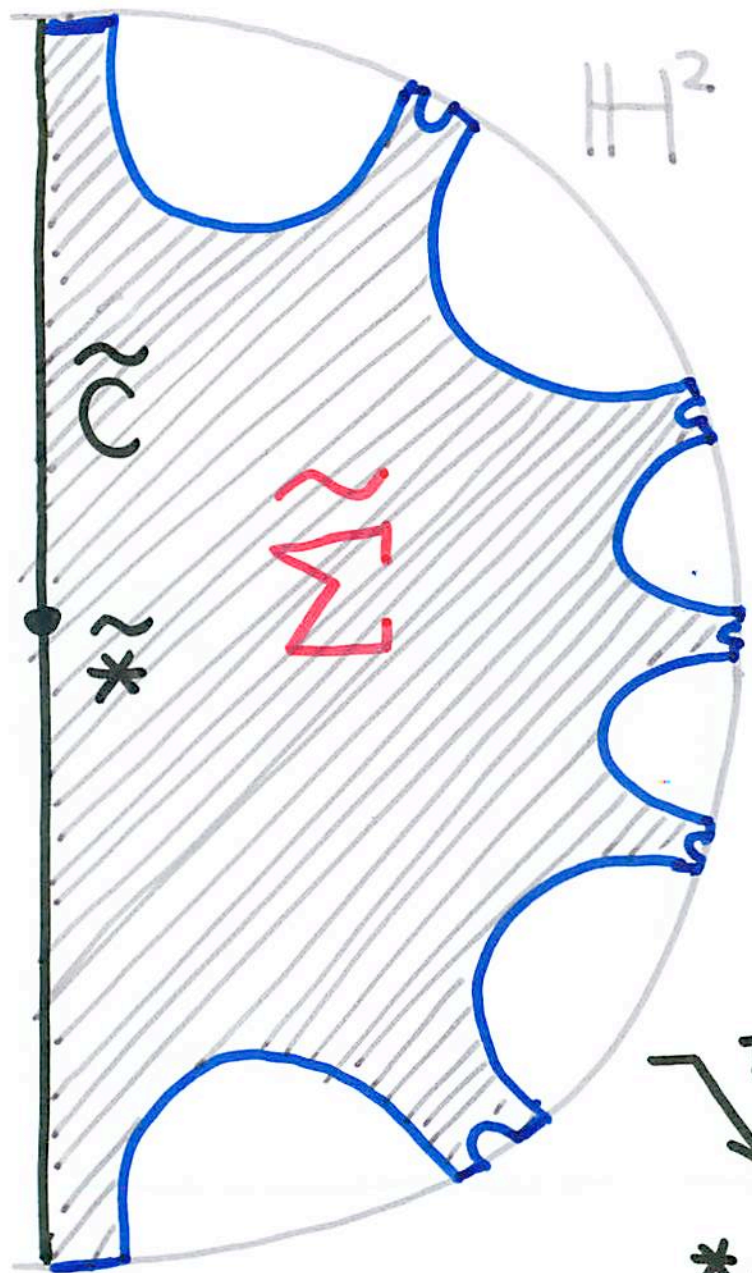
- Regard Σ as a hyperbolic surface.

$$\pi : \tilde{\Sigma} \longrightarrow \Sigma \quad \text{universal cover}$$

$\downarrow$ isometrically embedded
 $\mathbb{H}^2$

$C \subset \partial \Sigma$: connected component, $* \in C$: base point

$$\tilde{C} \subset \pi^{-1}(C) \subset \partial \tilde{\Sigma}, \quad \tilde{*} \in \tilde{C} \subset \partial \tilde{\Sigma} : \text{lift}$$



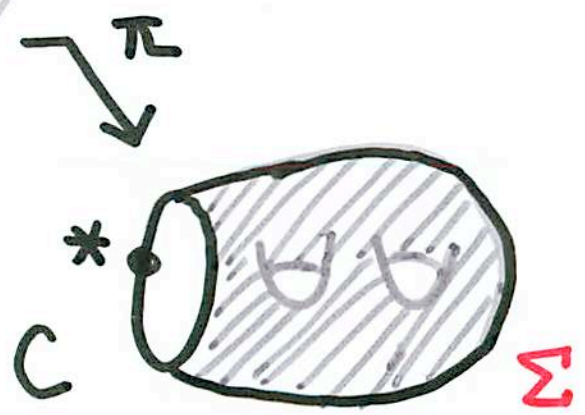
$\mathbb{H}^2$

$\text{---} : \mathbb{R} \cong \partial \tilde{\Sigma} - \tilde{c}$

Nielsen-Thurston map

$$\begin{aligned} \textcircled{H}: \text{MCG}(\Sigma, \partial \Sigma) &\hookrightarrow \text{Homeo}^+(\mathbb{R}) \\ \Downarrow &\quad \Downarrow \\ [f] &\longrightarrow \tilde{f}|_{\partial \tilde{\Sigma} - \tilde{c}} \end{aligned}$$

(well-defined, injective)



$$x \in \mathbb{R} \cong \partial \tilde{\Sigma} - \tilde{C} \quad \alpha, \beta \in MCG$$

⑤

$$\alpha <_x \beta$$

$$\stackrel{\text{def}}{\iff} [\mathbb{H}(\alpha)](x) <_{\mathbb{R}} [\mathbb{H}(\beta)](x)$$

Observation (Thurston)

$<_x$ is left-invariant partial ordering

$$\alpha <_x \beta \Rightarrow \gamma \alpha <_x \gamma \beta$$

⑥

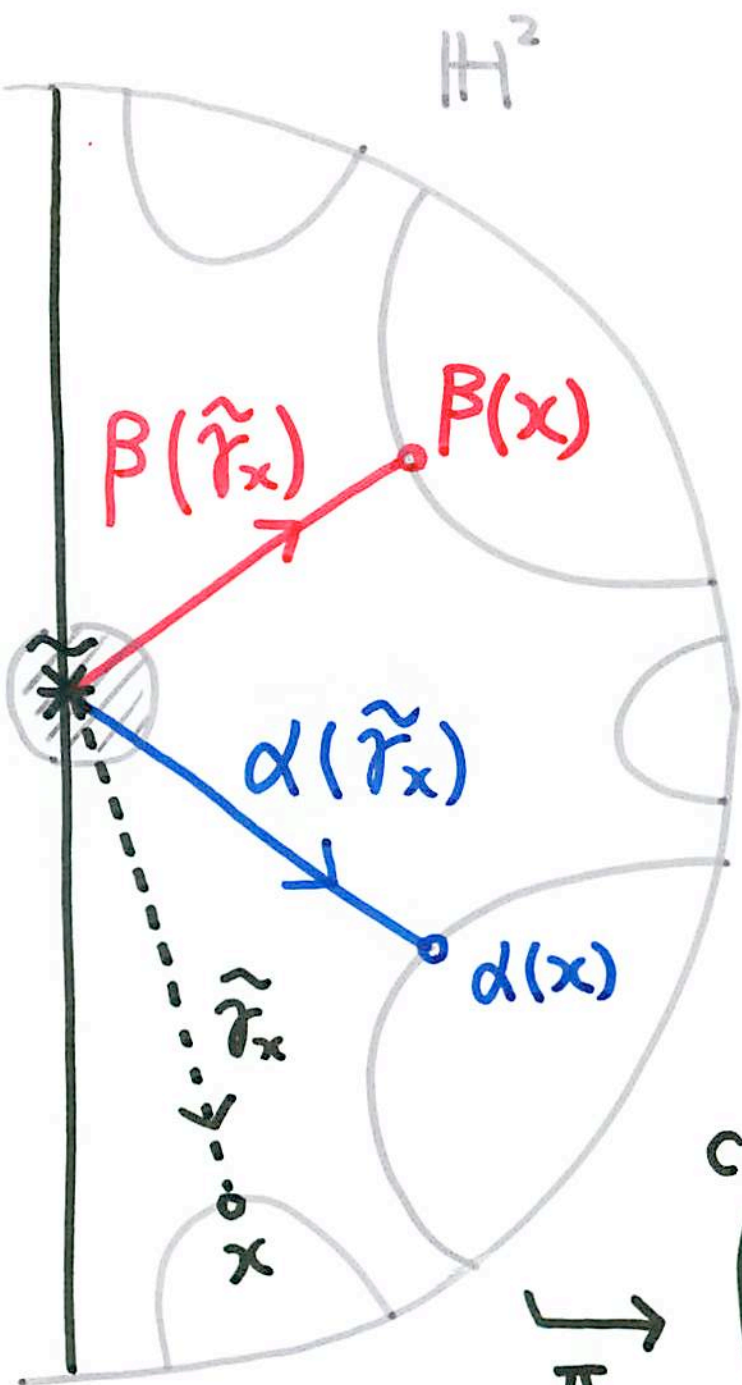
- For generic x , $<_x$ is total left-ordering.

$<_x$ is called

Thurston-type ordering (having base at C)

Fact

Family of orderings $\{<_x\}$ only depends on C
(does not depend on metric, base point etc...)

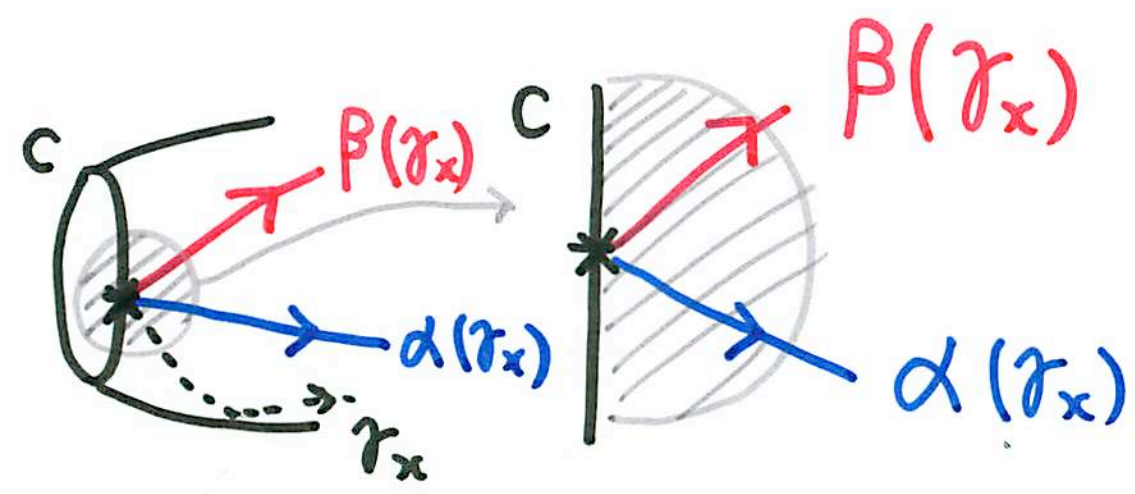


$$\alpha <_x \beta$$

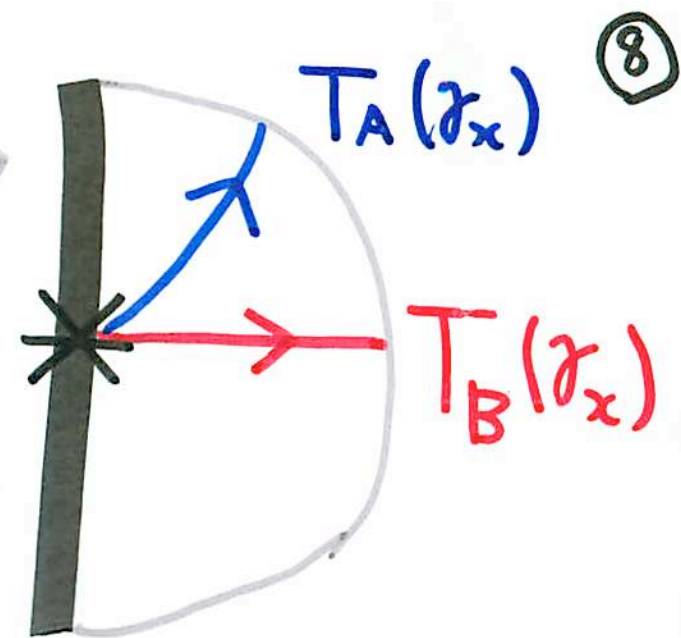
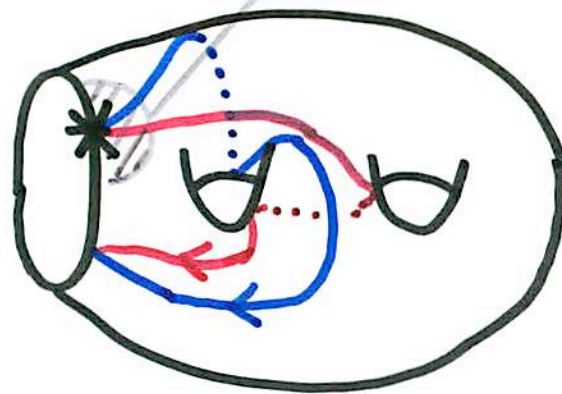
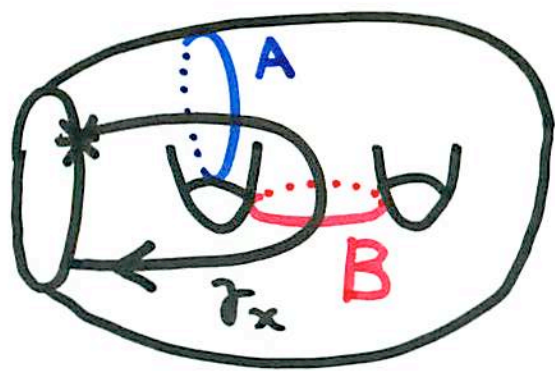
$\Leftrightarrow$ geodesic $\beta(\gamma_x)$ moves
left side of $\alpha(\gamma_x)$

(ordering is very "local")

$\xrightarrow{\pi}$



example



T_A, T_B : Dehn twist along A, B

$$\hookrightarrow T_B <_x T_A$$

Facts

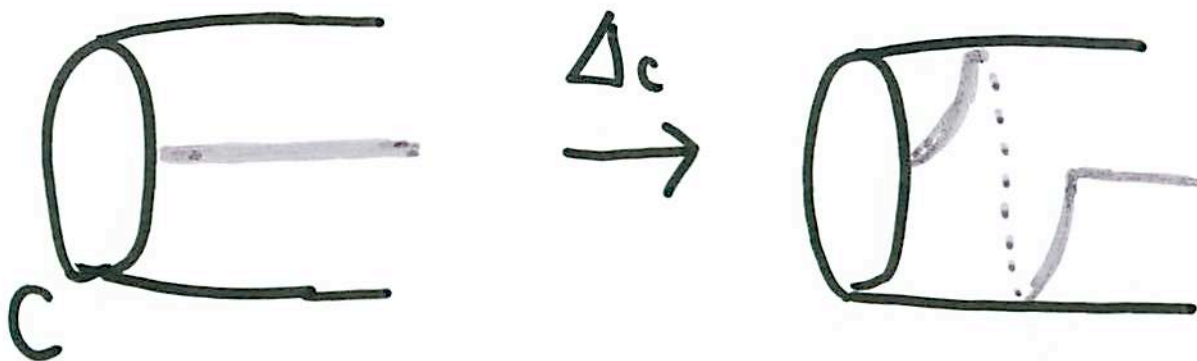
⑨

① Thurston-type orderings
are "easy" to compute
(Computed in polynomial time)

② $\exists$ "Infinitely many (uncountably many !)
essentially different" Thurston-type
orderings.

§II. Ordering floor

- $C \subset \partial \Sigma$: boundary component of Σ
- $<_x$: Thurston-type ordering of MCG having base at C
- Δ_c : ^(left) Dehn twist along C



DefOrdering floor of $\alpha \in \text{MCG}(\Sigma, \partial\Sigma)$

$$[\alpha]_x = N \in \mathbb{Z} \text{ if } \Delta_c^N \leq_x \alpha <_x \Delta_c^{N+1}$$

Lemma

- $|[\alpha\beta]_x - [\alpha]_x - [\beta]_x| \leq 1$
- $|[\alpha\beta\alpha^{-1}]_x - [\beta]_x| \leq 1$

Def

Stable ordering floor

$$S_c(\alpha) \stackrel{\text{def}}{=} \lim_{N \rightarrow \infty} \frac{[\alpha^N]_x}{N} \in \mathbb{R}$$

Lemma.

- S_c does not depend on a choice of ordering $<_x$.
- $|S_c(\alpha\beta) - S_c(\alpha) - S_c(\beta)| \leq 1$
- $S_c(\alpha^{-1}\beta\alpha) = S_c(\beta)$, $S_c(\alpha^N) = N S_c(\alpha)$

Theorem A (I.)

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$$\text{Let } N = N_Z = \begin{cases} 4g+2 & (p=0, d=1) \\ 4g+2d+2p-4 & (\text{otherwise}) \end{cases}$$

Then,

$$(i) \quad S_c(\alpha) \in \left\{ \frac{p}{q} \in \mathbb{Q} \mid |q| \leq N \right\} \stackrel{\text{def}}{=} \mathbb{Q}_N$$

for all $\alpha \in \text{MCG}(\Sigma, \partial\Sigma)$

$$(ii) \quad \underline{S_c(\alpha)} = \left\{ r \in \mathbb{Q}_N \mid \left| \frac{[\alpha^{N(N+1)}]_x}{N(N+1)} - r \right| \text{ is minimal} \right\}$$

↳ $S_c(\alpha)$ is "easy" to compute.

example

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$$B_3 = \text{MCG}(\Sigma_{0,1,3}, \partial\Sigma), \quad \Delta_c = (\sigma_1 \sigma_2 \sigma_1)^2$$

$$\cdot S_c(\sigma_1 \sigma_2) = \frac{1}{3}, \quad S_c(\sigma_1 \sigma_2 \sigma_1) = \frac{1}{2}$$

$$\cdot S_c(\sigma_2^k) = 0 \quad \forall k \in \mathbb{Z}$$

$$\cdot S_c(\Delta_c \sigma_2^k) = 1$$

$$(\because) \left| \left[(\Delta_c \sigma_2^k)^N \right]_x - \underbrace{\left[\Delta_c^N \right]_x}_N - \underbrace{\left[\sigma_2^{Nk} \right]_x}_0 \right| \leq 1$$

$$N \rightarrow \infty \Rightarrow S_c(\Delta_c \sigma_2^k) = 1.$$

§ III Openbook and contact structure of 3-manifolds (15)

M : closed, oriented 3-manifold

$\xi \subset TM$: oriented plane field

ξ is contact structure on M

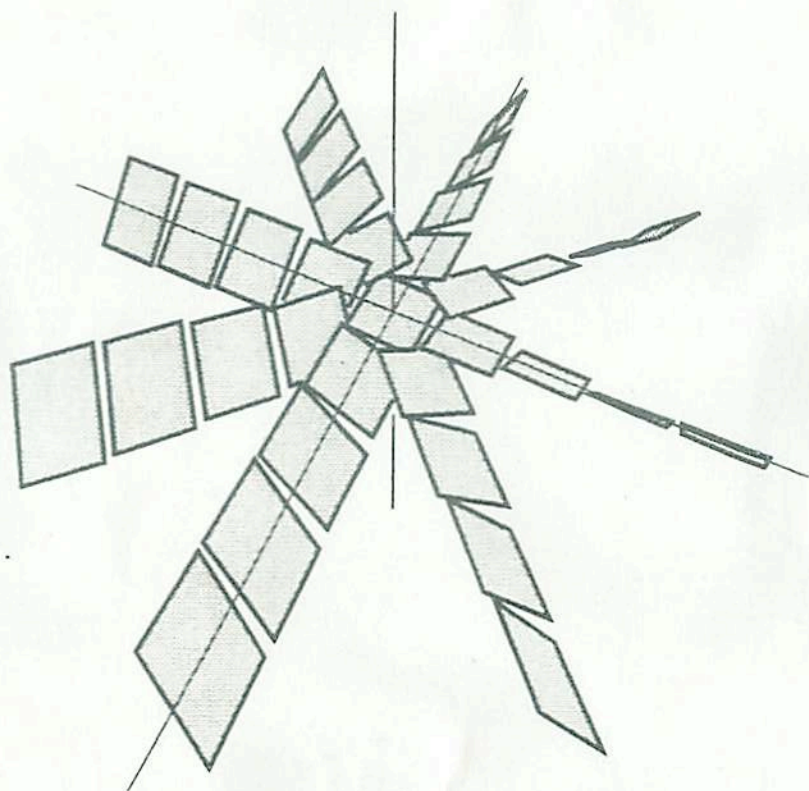
$\stackrel{\text{def}}{\iff} \xi = \text{Ker } W \quad W: 1\text{-form on } M$
s.t. $W \wedge dW > 0$

Ex.

(16)

$$\xi_{\text{std}} = \ker(dz + r^2 d\theta)$$

standard
contact structure

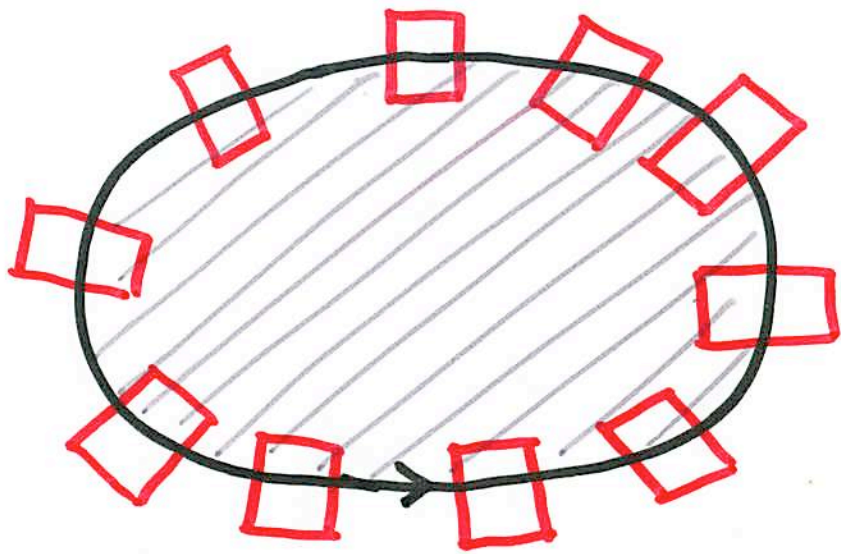


Tight vs. Overtwisted

(17)

$D^2 \hookrightarrow (M, \xi)$ is overtwisted Disc

$$\iff T_p D^2 \subset \xi_p \quad \forall p \in \partial D^2$$



ex.) (S^3, ξ_{std}) contains
no overtwisted Disc.

Def

Contact structure ξ is

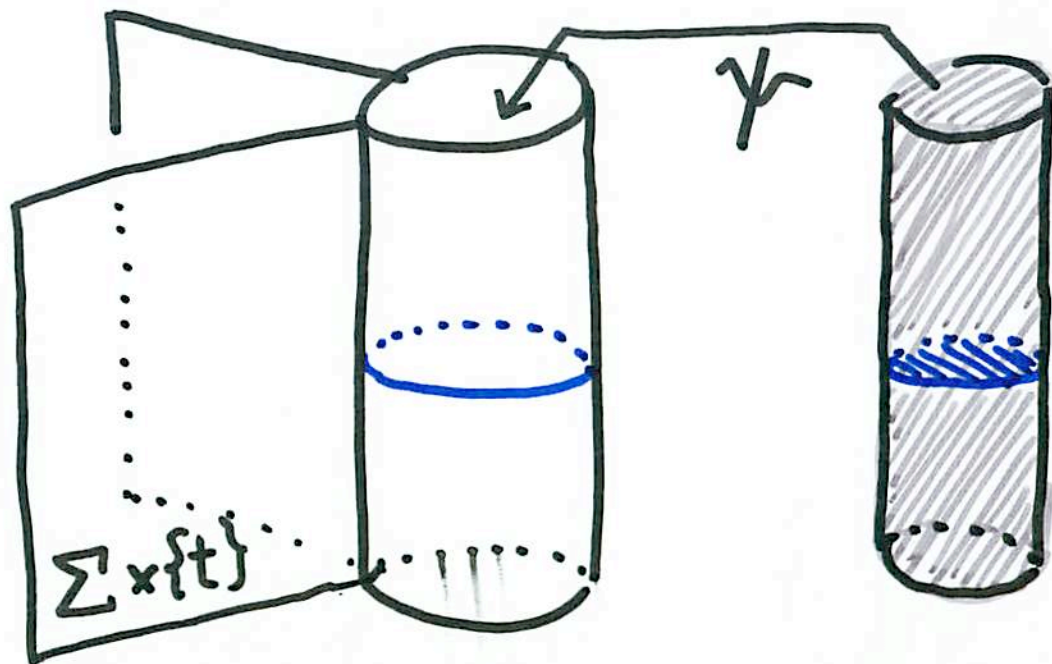
Overtwisted $\overset{\text{def}}{\iff} \exists$ overtwisted Disc
Tight $\overset{\text{def}}{\iff} \nexists$ overtwisted Disc

- Classification of overtwisted contact structure is known (Eliashberg)

Q. How to know ξ is tight ?

$$\Sigma = \Sigma_{g,d,0} \quad \alpha \in \text{MCG}(\Sigma, \partial\Sigma) \quad (19)$$

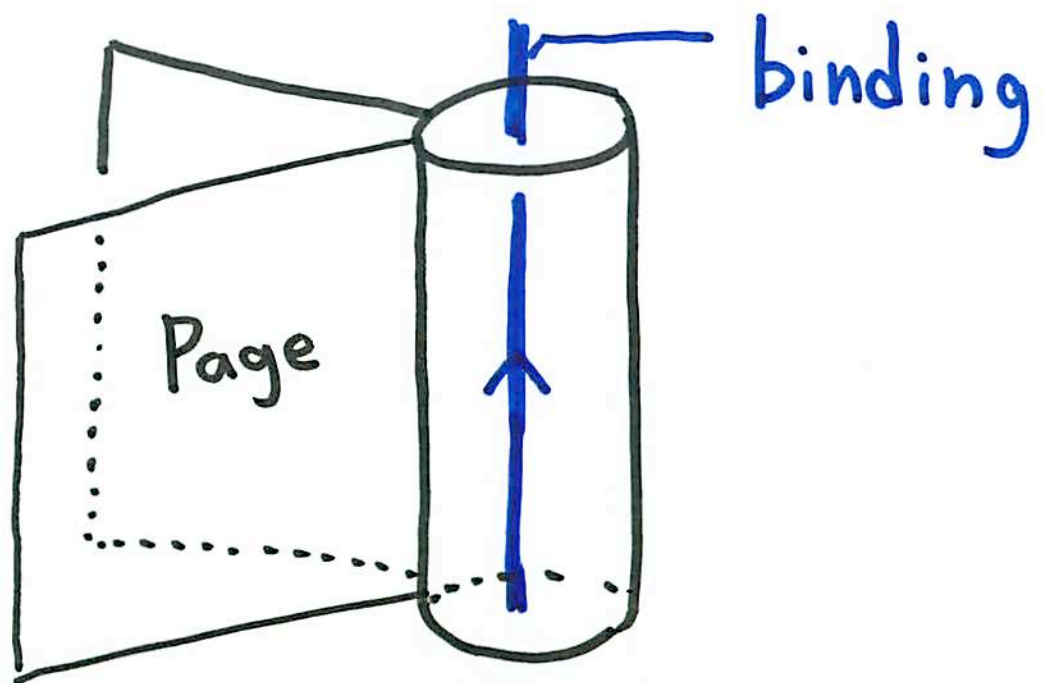
$$M_\alpha = \underbrace{\left[\Sigma \times [0,1] \right]_{(x,0) \sim (\alpha(x),1)}}_{\text{Mapping Torus}} \cup_{\gamma} \underbrace{\left[\bigsqcup_d S^1 \times D^2 \right]}_{\text{Solid Tori}}$$



$$\begin{aligned} \{p\} \times S^1 &\cong \{q\} \times \partial D^2 \\ \cap &\cap \\ \partial \Sigma &S^1 \end{aligned} \quad \gamma$$

(Σ, α) is called openbook decomposition
of 3-Manifold $M = M_\alpha$

- Binding = core of solid tori $\subset M$
- Page = $\Sigma \times \{t\} \subset M$



(open book $\equiv$ fibered link)

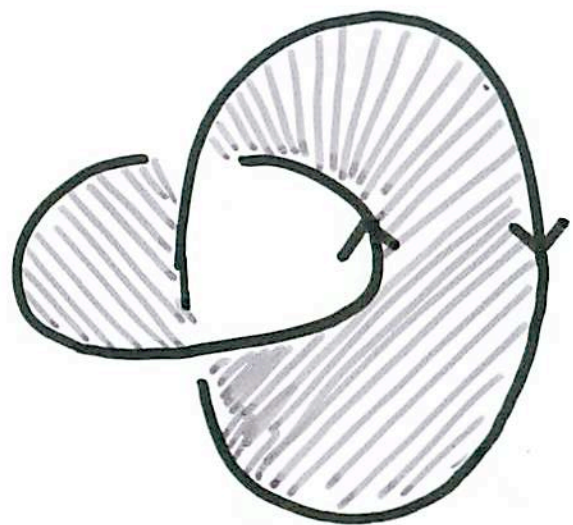
ex.)

(21)

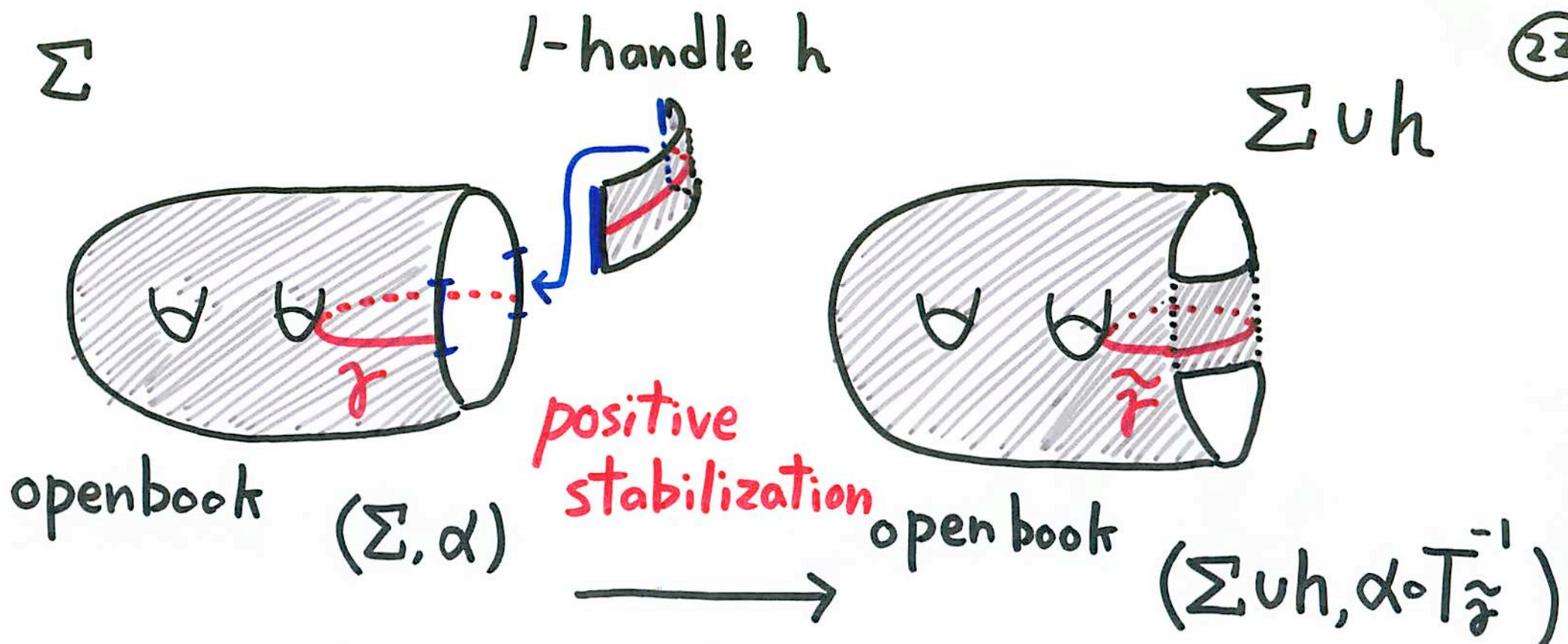
α : Dehn-twist along the core of an annulus A



• $(A, \alpha^{\pm 1})$ is openbook of S^3



$\left(\begin{array}{l} \alpha^{\pm 1} \text{ is monodromy of} \\ \text{pos/neg Hopf link.} \end{array} \right)$



$(\Sigma, \alpha), (\Sigma \cup h, \alpha \circ T_{\tilde{\gamma}}^{-1})$ define
open book decomposition of the same 3-manifold

$(T_{\tilde{\gamma}}^{-1} : \underline{\text{Right}}\text{-handed Dehn twist})$

Giroux Correspondence

(23)

Theorem (Giroux)

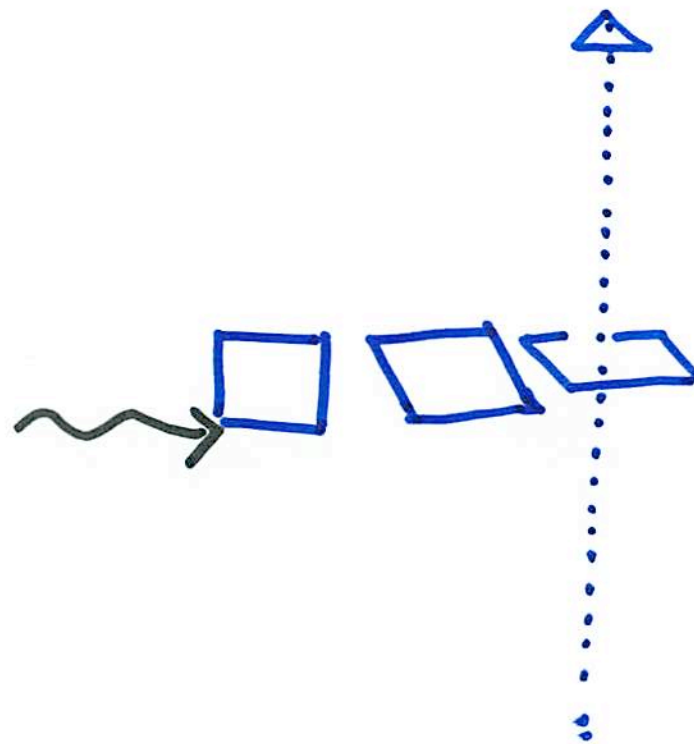
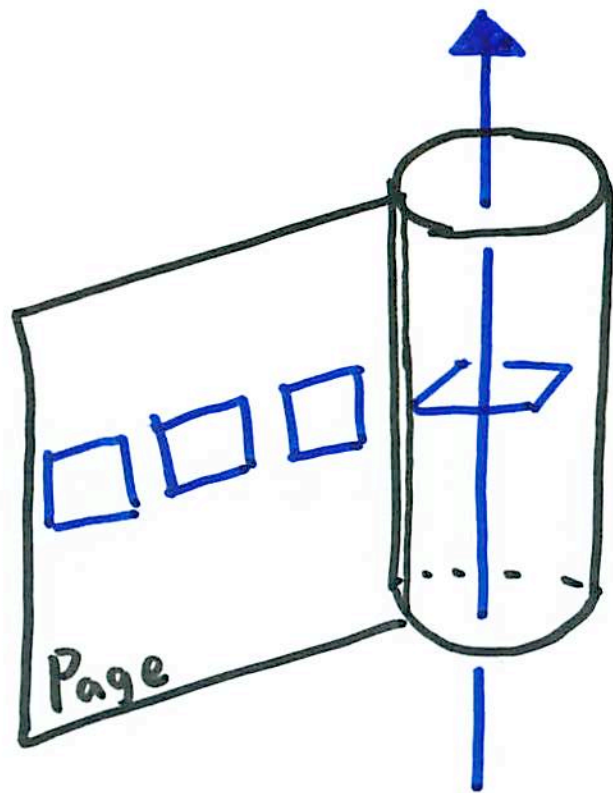
$\{\text{contact structures on } M\} / \sim_{\text{isotopy}}$

$\updownarrow 1:1$

$\{\text{Open book decompositions of } M\} / \sim_{\text{positive stabilization}}$

(Openbook $\Rightarrow$ contact structure)

(24)



plane field

- tangent to pages
- transverse to binding



contact structure

§ IV Orderings $\Rightarrow$ contact structure

(25)

Theorem A^* (I)

(Σ, α) : open book

ξ_α : contact structure on M_α given by (Σ, α)

$S_c(\alpha) > 0$ for some $C \subset \partial\Sigma$

$\Rightarrow \xi_\alpha$ is over-twisted

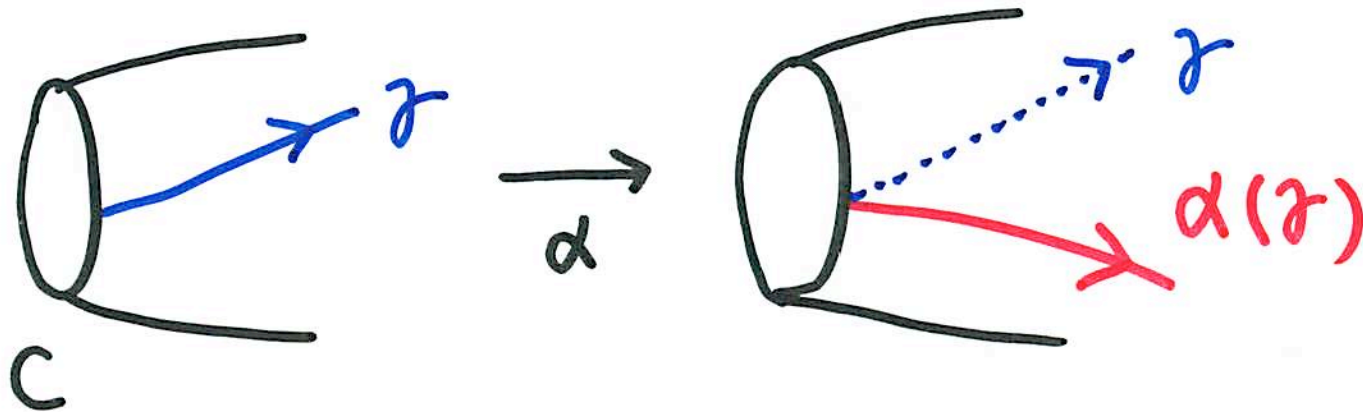
[Proof]

(26)

Def

$\alpha \in \text{MCG}(\Sigma, \partial\Sigma)$ is **right-veering** on C

$\iff \forall$ geodesic γ emanating from C ,
 $\alpha(\gamma)$ moves right side of γ



$\Leftrightarrow \textcircled{\forall} <_x$: Thurston-type ordering having
base at C (27)

$$\alpha <_x 1$$

Theorem (Honda-Kazez-Matic)

(Σ, α) defines tight contact structure

$\Rightarrow \alpha$ is right-veering.

Theorem A^{**} (I)

(28)

$S_c(\alpha) < 0 \Rightarrow \alpha$ is right-veering

Theorem A^{**} is useful to construct
right-veering map $(\longleftrightarrow)$ tight
which is not a

product of (right) Dehn-twists $(\longleftrightarrow)$ Stein fillable

• Toward generalization

Ozsvath - Szabo

- Heegaard Floer homology $\widehat{HF}(M)$
- contact class $c(\xi) \in \widehat{HF}(-M)$

$$\begin{cases} c(\xi) = 0 & \text{if } \xi \text{ is over-twisted} \\ c(\xi) \neq 0 & \text{if } \xi \text{ is Stein-fillable} \end{cases}$$

Honda-Kazez-Matic

(30)

- give a description of $C(\xi)$
via open-book decomposition
- Showed α is not right-veering
 $\Rightarrow C(\xi) = \emptyset$

Q. Can we use orderings (or $Sc(\alpha)$)
to study $C(\xi)$?

Theorem B

(31)

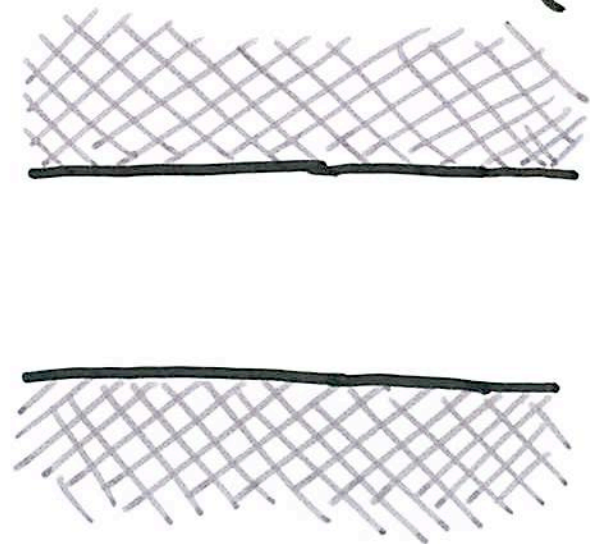
(Σ, α) is positive stabilization of
another open book (Σ', α')

$$\Rightarrow |S_C(\alpha)| \leq 1 \quad \text{for some } C \subset \partial\Sigma$$

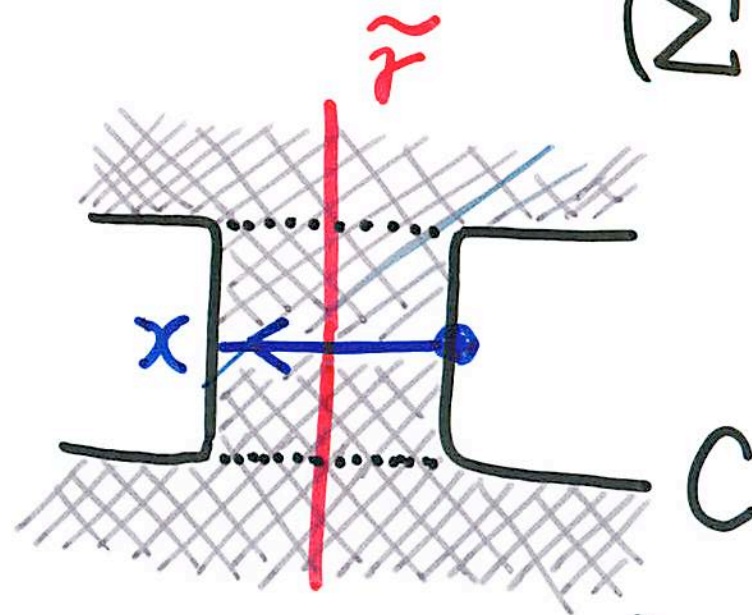
Moreover, if ξ_α is over-twisted,

$$\text{Then } S_C(\alpha) = 0$$

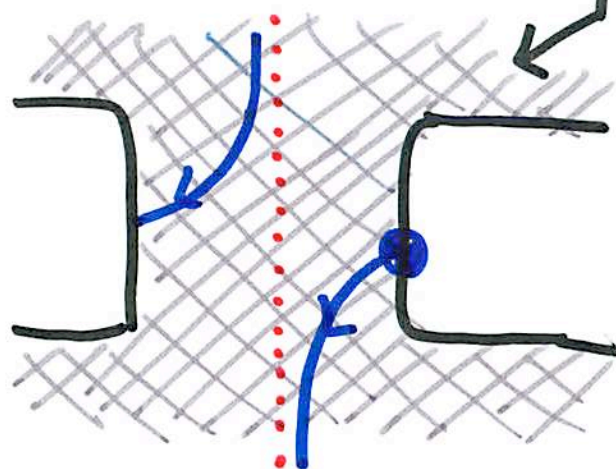
[Proof] (Σ', d')



(Σ, d) ③



$$\alpha = \alpha' \circ T_{\tilde{z}}$$



$$\underline{-1 \leq [d]_x \leq 0}$$

$L \subset (M, \xi)$ is transverse link

$\Leftrightarrow L : \text{link in } M \text{ (oriented)}$
 $L \text{ transverse } \xi \text{ positively}$

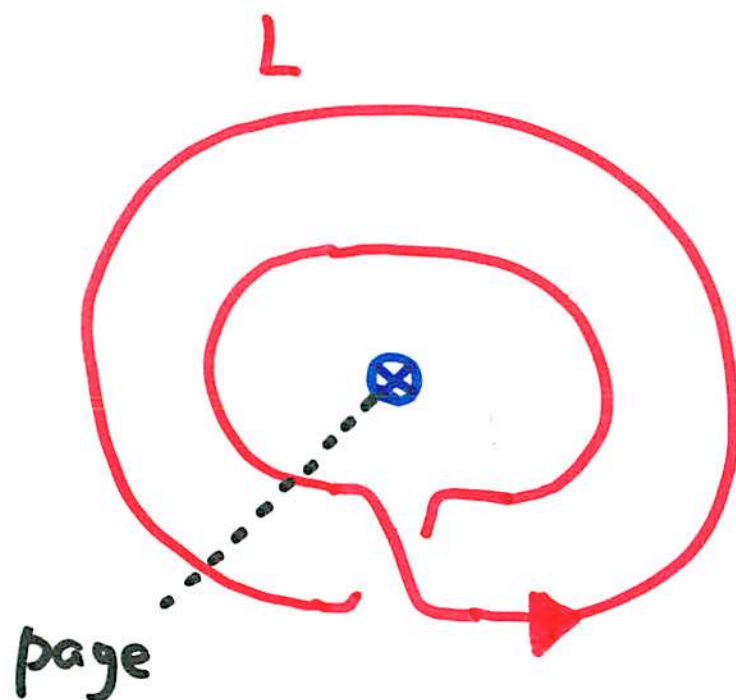
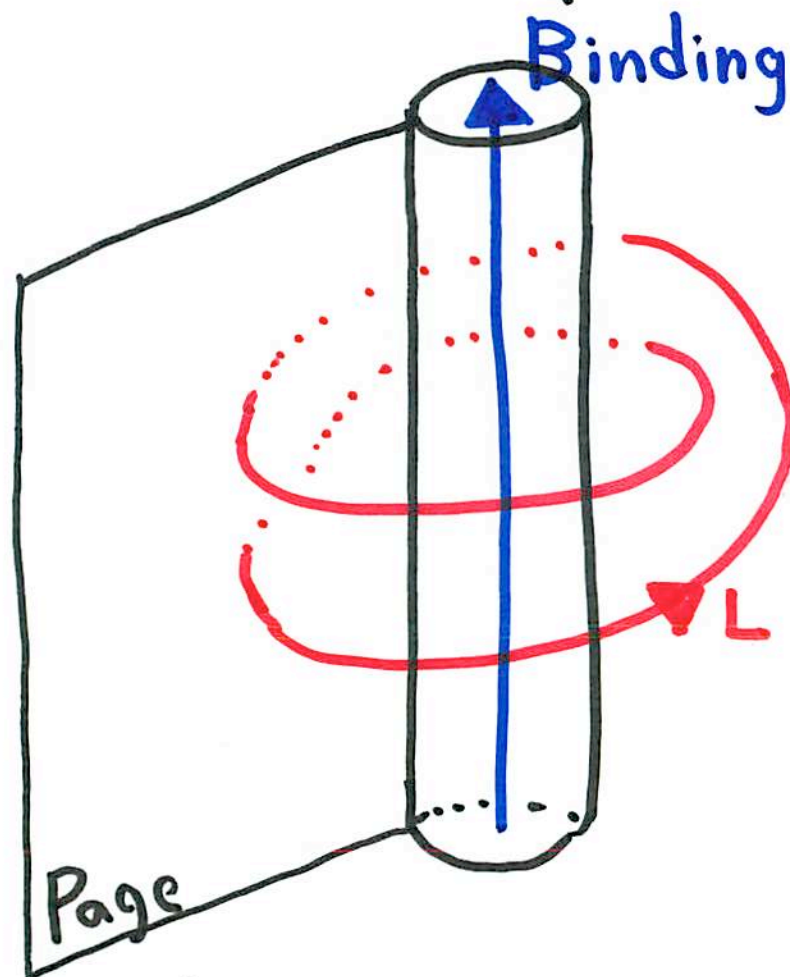
* contact structure $\xi \longleftrightarrow$ plane field
 $\left\{ \begin{array}{l} \text{tangent to page} \\ \text{transverse to binding} \end{array} \right.$

So
 transverse link $\longleftrightarrow$ link transverse to page

ex.) Transverse link in (S^3, ξ_{std})

(34)

ξ_{std} is represented by an openbook (D^2, id)



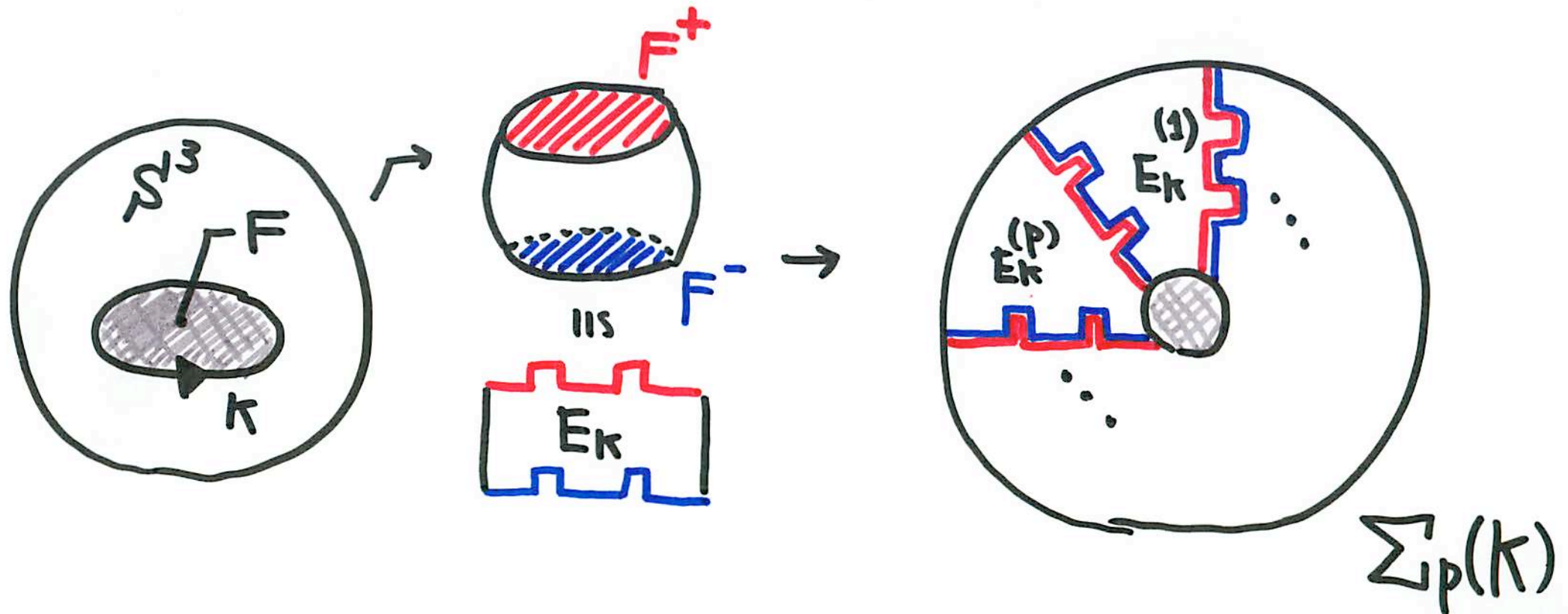
↳ Transverse link is represented as a closed braid.

Branched cover

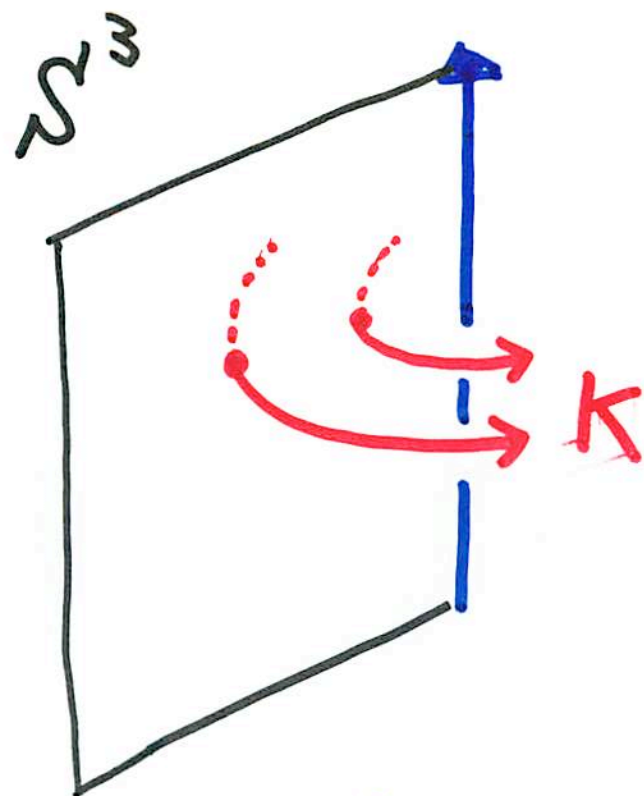
(35)

$K \subset S^3$: knot $p \in \mathbb{Z}_{>0}$

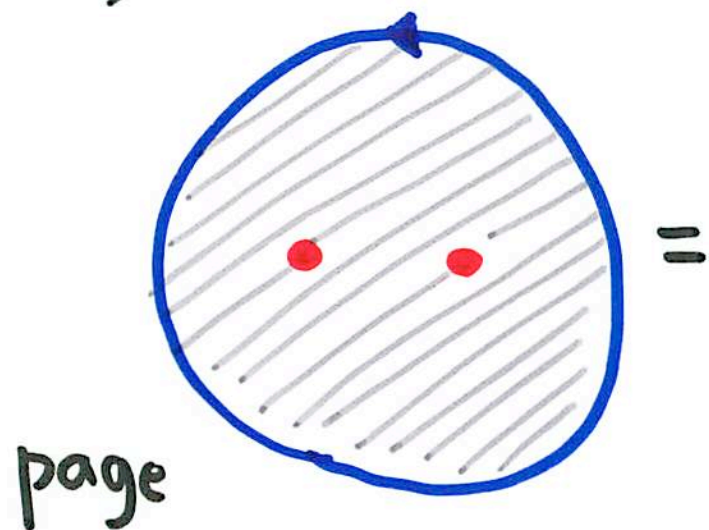
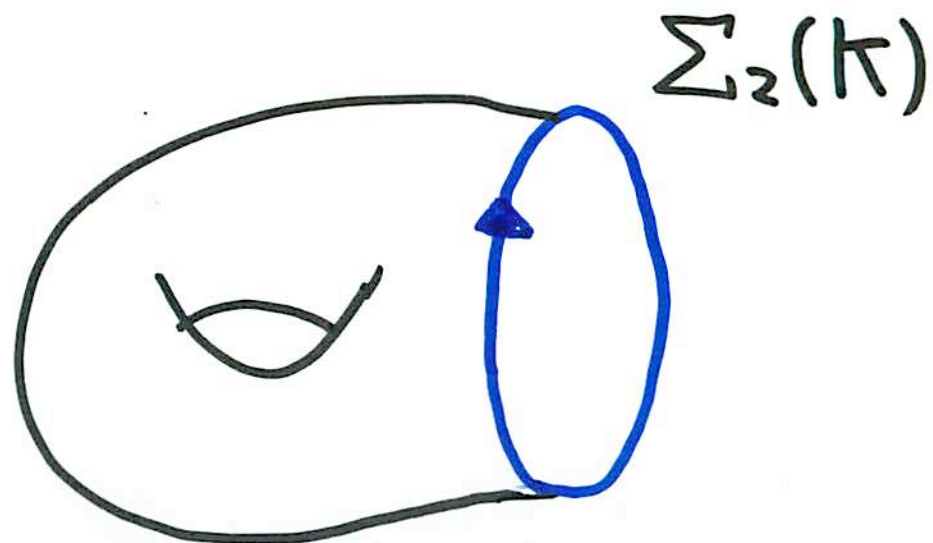
$\Sigma_p(K)$: p -fold cyclic branched cover



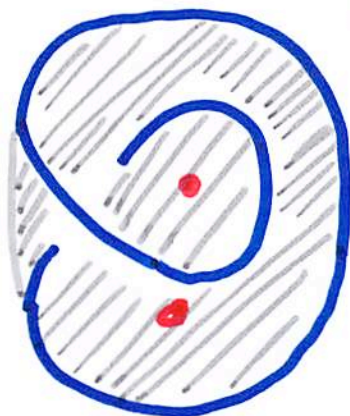
Open book point of view



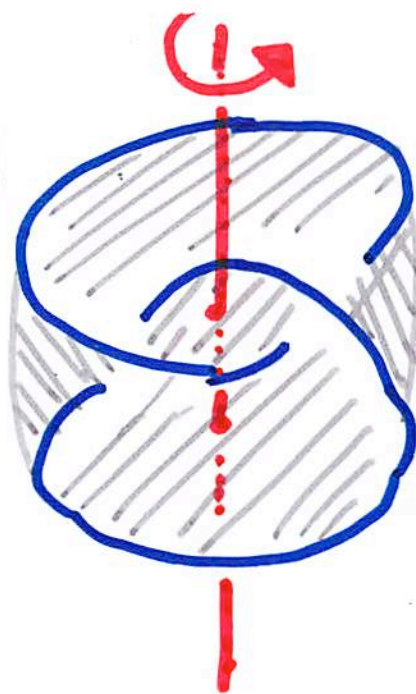
2-fold
branched



=



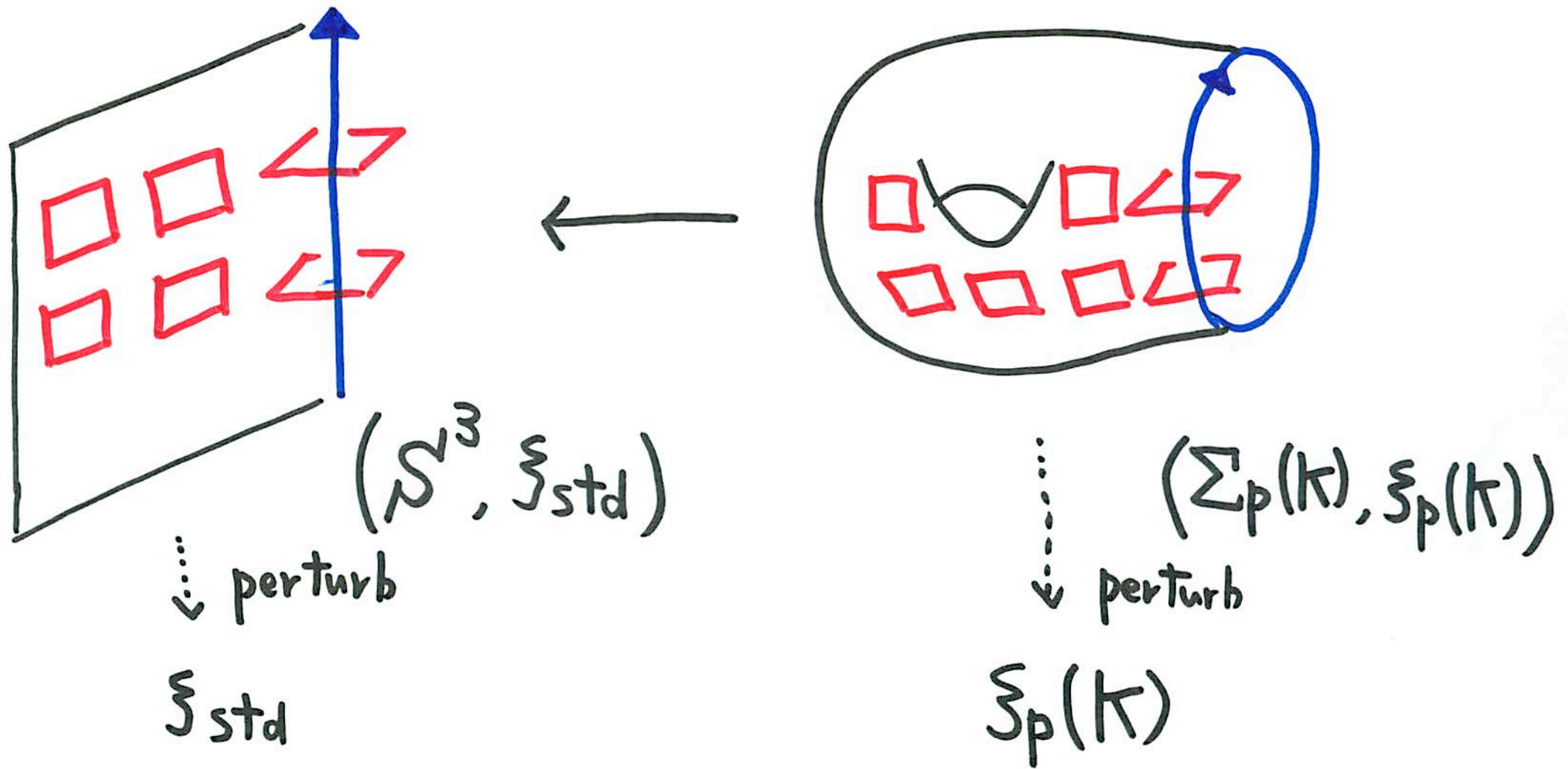
2-fold
branched



page

Contact branched cover

(37)



Theorem C (I)

(38)

$$\beta \in B_n = \text{MCG}(\Sigma_{0,1,n}; \partial E), \quad C = \partial \Sigma$$

$$K = \hat{\beta} \subset (S^3, \xi_{\text{std}}) : \text{transverse knot}$$

$$S_c(\beta) > 0 \Rightarrow \xi_p(K) \text{ is over-twisted}$$